

Some results about numerical radius inequalities

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Abstract

Let $X_1, X_2, \dots, X_n, Y_1, Y_2, \dots, Y_n$ be operators on a complex separable Hilbert space, $p \geq 2$. Then

$$w^p \left(\sum_{i=1}^n (X_i + Y_i) \right) \leq 2^{p-1} \left\| \sum_{i=1}^n (|X_i|^p + |X_i^*|^p + |Y_i|^p + |Y_i^*|^p) \right\|.$$

This inequality contains several considerable special cases.

1 Introduction

Let $B(H)$ denote the bounded linear operators on the Hilbert space H . For $X \in B(H)$, define

$$w(X) = \sup \{ |\langle Xx, x \rangle| : x \in H, \|x\| = 1 \}.$$

and

$$\|X\| = \sup \{ \|Xx\| : x \in H, \|x\| = 1 \}.$$

Gustafson and Rao [4] proved that

$$\frac{\|\cdot\|}{2} \leq w(\cdot) \leq \|\cdot\|, \quad (1.1)$$

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where $w(X) = \frac{\|X\|}{2}$ if $X^2 = 0$ and $w(X) = \|X\|$ if X is normal.

For $X \in B(H)$, we have $w(X^n) \leq (w(X))^n$ for all natural numbers. For more results on the topic of numerical radius for operators, we refer the reader to [1], [2], [3], [6], [7] and [8]. Kittaneh [8] proved that

$$2w^2(X) \leq \|X^*X + XX^*\|. \quad (1.2)$$

Several new results are provided in this paper.

2 Main Results

The following lemmas are necessary in our paper. Throughout this paper, let $f, g \geq 0$ and continuous on $[0, \infty)$ where $f(t)g(t) = t$ for all nonnegative real numbers.

Lemma 2.1. *Let $m, n \in \mathbb{R}$, $r \geq 2$. Then*

$$|m + n|^r + |m - n|^r \geq 2(|m|^r + |n|^r). \quad (2.3)$$

Lemma 2.2. *Let $m_i, n_i > 0$ for $i = 1, 2, \dots, n$ and $r \geq 1$. Then*

$$\left(\sum_{i=1}^n (m_i + n_i)^r \right)^{1/r} \leq \left(\sum_{i=1}^n m_i^r \right)^{1/r} + \left(\sum_{i=1}^n n_i^r \right)^{1/r}. \quad (2.4)$$

Lemma 2.3. *Let $m, n \geq 0$, $r \geq 1$. Then*

$$m^r + n^r \leq (m + n)^r \leq 2^{r-1}(m^r + n^r). \quad (2.5)$$

Lemma 2.4. *Let $X \in B(H)$ be self-adjoint operator. Then*

$$|\langle Xx, x \rangle| \leq \langle |X| x, x \rangle. \quad (2.6)$$

Lemma 2.5. *Let $X \in B(H)$. Then*

$$|\langle Xx, y \rangle|^2 \leq \langle |X| x, x \rangle \langle |X^*| y, y \rangle \quad (2.7)$$

and more generally,

$$|\langle Xx, y \rangle|^2 \leq \langle f^2(|X|) x, x \rangle \langle g^2(|X^*|) y, y \rangle. \quad (2.8)$$

The following is our main result.

Theorem 2.6. *Let $X_1, X_2, \dots, X_n, Y_1, Y_2, \dots, Y_n \in B(H)$, $r \geq 2$. Then*

$$w^r \left(\sum_{i=1}^n (X_i + Y_i) \right) \leq 2^{r-2} \left\| \sum_{i=1}^n (|X_i|^r + |X_i^*|^r + |Y_i|^r + |Y_i^*|^r) \right\|. \quad (2.9)$$

Proof.

$$\begin{aligned}
\left| \left\langle \sum_{i=1}^n (X_i + Y_i)x, x \right\rangle \right|^r &\leq \sum_{i=1}^n |\langle (X_i + Y_i)x, x \rangle|^r \\
&= \sum_{i=1}^n |\langle X_i x, x \rangle + \langle Y_i x, x \rangle|^r \\
&\leq \sum_{i=1}^n (|\langle X_i x, x \rangle| + |\langle Y_i x, x \rangle|)^r \\
&\quad \text{(by triangle inequality)} \\
&\leq \left[\left(\sum_{i=1}^n |\langle X_i x, x \rangle|^r \right)^{1/r} + \left(\sum_{i=1}^n |\langle Y_i x, x \rangle|^r \right)^{1/r} \right]^r \\
&\quad \text{(by Minkowski's inequality)} \\
&\leq 2^{r-1} \left[\sum_{i=1}^n |\langle X_i x, x \rangle|^r + \sum_{i=1}^n |\langle Y_i x, x \rangle|^r \right] \\
&\quad \text{(by Lemma 2.3)} \\
&\leq 2^{r-1} \left[\sum_{i=1}^n \langle |X_i| x, x \rangle^{r/2} \langle |X_i^*| x, x \rangle^{r/2} + \sum_{i=1}^n \langle |Y_i| x, x \rangle^{r/2} \langle |Y_i^*| x, x \rangle^{r/2} \right] \\
&\quad \text{(by Lemma 2.4 and Lemma 2.5)} \\
&\leq 2^{r-2} \left[\sum_{i=1}^n (\langle |X_i| x, x \rangle^r + \langle |X_i^*| x, x \rangle^r) + \sum_{i=1}^n (\langle |Y_i| x, x \rangle^r + \langle |Y_i^*| x, x \rangle^r) \right] \\
&\quad \text{(by A-G mean inequality)} \\
&\leq 2^{r-2} \left[\sum_{i=1}^n (\langle |X_i|^r x, x \rangle + \langle |X_i^*|^r x, x \rangle) + \sum_{i=1}^n (\langle |Y_i|^r x, x \rangle + \langle |Y_i^*|^r x, x \rangle) \right] \\
&= 2^{r-2} \left[\sum_{i=1}^n \left(\langle |X_i|^r x, x \rangle + \langle |X_i^*|^r x, x \rangle + \langle |Y_i|^r x, x \rangle + \langle |Y_i^*|^r x, x \rangle \right) \right] \\
&\leq 2^{r-2} \left[\sum_{i=1}^n \langle (|X_i|^r + |X_i^*|^r + |Y_i|^r + |Y_i^*|^r) x, x \rangle \right].
\end{aligned}$$

It follows that,

$$w^r \left(\sum_{i=1}^n (X_i + Y_i) \right) \leq 2^{r-2} \left\| \sum_{i=1}^n (|X_i|^r + |X_i^*|^r + |Y_i|^r + |Y_i^*|^r) \right\|.$$

□

Corollary 2.7. *Let $X, Y \in B(H)$, $r \geq 2$. Then*

$$w^r(X + Y) \leq 2^{r-2} \left(\| |X|^r + |X^*|^r + |Y|^r + |Y^*|^r \| \right). \quad (2.10)$$

Proof. Letting $X_i = Y_i = 0$ for $i = 2, 3, \dots, n$ in (2.9), we obtain (2.10). □

It should be mentioned that if we let $X = Y$ in (2.10), we obtain

$$w^r(X) \leq \frac{1}{2} \left(\| |X|^r + |X^*|^r \| \right). \quad (2.11)$$

Now, if we let $r = 2$ in inequality (2.11), we retrieve inequality (1.2).

Theorem 2.8. *Let $X, Y \in B(H)$, $r \geq 2$. Then*

$$w^r(X + Y) \leq 2^{r-3} \left(\| |X + Y|^r + |(X + Y)^*|^r + |X - Y|^r + |(X - Y)^*|^r \| \right). \quad (2.12)$$

Proof.

$$\begin{aligned} |\langle (X + Y)x, x \rangle|^r &= |\langle Xx, x \rangle + \langle Yx, x \rangle|^r \\ &\leq 2^{r-1} (|\langle Xx, x \rangle|^r + |\langle Yx, x \rangle|^r) \\ &\leq 2^{r-2} (|\langle (X + Y)x, x \rangle|^r + |\langle (X - Y)x, x \rangle|^r) \\ &\quad \text{(by using Lemma 2.1).} \\ &\leq 2^{r-2} \left[\frac{\langle |X + Y| x, x \rangle^{r/2} \langle |(X + Y)^* | x, x \rangle^{r/2} + \langle |X - Y| x, x \rangle^{r/2} \langle |(X - Y)^* | x, x \rangle^{r/2}}{2} \right] \\ &\quad \text{(by Lemma 2.5)} \\ &\leq 2^{r-2} \left[\frac{\langle |X + Y|^{r/2} x, x \rangle \langle |(X + Y)^*|^{r/2} x, x \rangle + \langle |X - Y|^{r/2} x, x \rangle \langle |(X - Y)^*|^{r/2} x, x \rangle}{2} \right] \\ &\leq 2^{r-3} \left[\frac{\langle |X + Y|^r x, x \rangle + \langle |(X + Y)^*|^r x, x \rangle + \langle |X - Y|^r x, x \rangle + \langle |(X - Y)^*|^r x, x \rangle}{2} \right] \\ &= 2^{r-3} \left\langle \left(\begin{array}{c} |X + Y|^r + |(X + Y)^*|^r \\ |X - Y|^r + |(X - Y)^*|^r \end{array} \right) x, x \right\rangle. \end{aligned}$$

It follows that

$$w^r(X + Y) \leq 2^{r-3} \left(\| |X + Y|^r + |(X + Y)^*|^r + |X - Y|^r + |(X - Y)^*|^r \right).$$

□

Corollary 2.9. *Let $X \in B(H)$, $r \geq 2$. Then*

$$w^r(X) \leq 2^{r-3} \left(\| |X|^r + |X^*|^r \right). \quad (2.13)$$

Proof. Replacing Y with X in (2.12), we get (2.13). □

Corollary 2.10. *Let $X \in B(H)$, $r \geq 2$. Then*

$$w^2(X) \leq \frac{1}{2} \| X^*X + XX^* \|.$$

Proof. This inequality follows directly from inequality (2.13) by replacing r with 2. □

Theorem 2.11. *Let $X, Y \in B(H)$ be self adjoint operators, $r \geq 2$. Then*

$$w^r(X + Y) \leq 2^{r-2} \left(\| |X + Y|^r + |X - Y|^r \right). \quad (2.14)$$

Proof.

$$\begin{aligned} |\langle (X + Y)x, x \rangle|^r &= |\langle Xx, x \rangle + \langle Yx, x \rangle|^r \\ &\leq 2^{r-1} \left[|\langle Xx, x \rangle|^r + |\langle Yx, x \rangle|^r \right] \\ &\leq 2^{r-2} \left[|\langle (X + Y)x, x \rangle|^r + |\langle (X - Y)x, x \rangle|^r \right] \\ &\quad \text{(by Lemma 2.1)} \\ &\leq 2^{r-2} \left[\langle |X + Y| x, x \rangle^r + \langle |X - Y| x, x \rangle^r \right] \\ &\quad \text{(by Lemma 2.4)} \\ &\leq 2^{r-2} \left[\langle |X + Y|^r x, x \rangle + \langle |X - Y|^r x, x \rangle \right] \\ &\leq 2^{r-2} \langle (|X + Y|^r + |X - Y|^r) x, x \rangle. \end{aligned}$$

It follows that

$$w^r(X + Y) \leq 2^{r-2} \left(\| |X + Y|^r + |X - Y|^r \right).$$

□

Corollary 2.12. *Let X be self adjoint operator in $B(H)$, $r \geq 2$. Then*

$$w^r(X) \leq 2^{r-2} \| |X|^r \|, \quad (2.15)$$

and

$$w^2(X) \leq \| |X|^2 \| = \| X^2 \|. \quad (2.16)$$

Proof. Replacing Y with X in inequality (2.14), we get inequality (2.15). Letting $r = 2$ in inequality (2.15), we get inequality (2.16). \square

Theorem 2.13. *Let X, Y be self adjoint operators in $B(H)$. Then*

$$w(XY) \leq \frac{1}{2} \| |XY| + |(XY)^* \| \|. \quad (2.17)$$

Proof.

$$\begin{aligned} |\langle XYx, x \rangle| &\leq \langle |XY| x, x \rangle^{1/2} \langle |(XY)^* | x, x \rangle^{1/2} \\ &\quad \text{(by Lemma 2.5)} \\ &\leq \frac{1}{2} [\langle |XY| x, x \rangle + \langle |(XY)^* | x, x \rangle] \\ &= \frac{1}{2} \langle (|XY| + |(XY)^* |) x, x \rangle. \end{aligned}$$

It follows that

$$w(XY) \leq \frac{1}{2} \| |XY| + |(XY)^* \| \|. \quad \square$$

Theorem 2.14. *Let $X \in B(H)$, $r \geq 1$. Then*

$$w^r(Y^*X) \leq \frac{1}{2} \| [f^{2r} |X| + (Y^*g^2(|X^*|)Y)^r] \|. \quad (2.18)$$

Proof.

$$\begin{aligned} |\langle Y^*Xx, x \rangle|^r &= |\langle Xx, Yx \rangle|^r \\ &\leq \langle f^2(|X|)x, x \rangle^{r/2} \langle g^2(|X^*|)Yx, Yx \rangle^{r/2} \\ &\quad \text{(by Lemma 2.5)} \\ &= \langle f^2(|X|)x, x \rangle^{r/2} \langle Y^*g^2(|X^*|)Yx, x \rangle^{r/2} \\ &\leq \langle f^{2r}(|X|)x, x \rangle^{1/2} \langle (Y^*g^2(|X^*|)Y)^r x, x \rangle^{1/2} \\ &\leq \frac{1}{2} [\langle f^{2r}(|X|)x, x \rangle + \langle (Y^*g^2(|X^*|)Y)^r x, x \rangle] \\ &= \frac{1}{2} \langle [f^{2r}(|X|) + (Y^*g^2(|X^*|)Y)^r] x, x \rangle. \end{aligned}$$

Now we get

$$w^r(Y^*X) \leq \frac{1}{2} \|f^{2r}|X| + (Y^*g^2(|X^*|)Y)^r\|.$$

□

Remark 2.15. *Inequality (1.2) is a special case of inequality (2.18) which follows by letting $f(t) = g(t) = t^{1/2}$, $r = 2$, $Y = I$.*

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